

### **Sponsored Research VS. Fee-For-Services**

When an external entity wishes to fund Chapman researchers to perform a project, the project may be classified as either a sponsored research project or a fee-for-services project. The university will first need to determine the classification of the project in order to put in the place the appropriate agreement. To make such a determination, the office of [Sponsored Projects Services](#) (SPS) will review the planned scope of work using the indicators below.

After SPS makes the determination of the type of project and approves the project's scope and budget, the office of [Industry Alliances and Commercialization](#) will work with the Office of Legal Affairs to put in place the appropriate agreement with the external party.

Please contact SPS at (714) 628-2805 or [SPS@chapman.edu](mailto:SPS@chapman.edu) if you have any questions.

#### **Sponsored Research Indicators:**

- Funds the major functions of basic & organized research, instruction, or other sponsored activity
- Mutual exchange between a sponsor & Chapman that supports and advances Chapman's mission and benefits both the sponsor and Chapman
- Activity results in generalizable knowledge intended to be shared broadly with the scientific community
- Chapman:
  - has authority to make overall programmatic decisions, with performance measured against program objectives rather than customer specifications
  - controls the method and results of the overall project
  - is responsible for the overall project or program outcomes
- Contributes to the scientific development of the project
- Activity is carried out with the intent to disseminate further knowledge, including potential publication (e.g., Chapman researchers contribute as authors or co-authors)
- Activity may result in a Chapman patentable innovation
- Copyrightable material may be developed while carrying out the work, and Chapman intends to retain ownership and control for future research or academic purposes
- Equipment fabrication or development for a federal agency

#### **Fee-For-Services Indicators**

- The primary benefit is to the customer
- The Chapman activity is ancillary to a program or project, and Chapman is not responsible for meeting program objectives or overall program progress
- Billing for a service activity is typically based on a fee schedule, pricing list, per diem, or per unit basis
- Service costs are typically expressed on an hourly or per unit basis
- Activity is not carried out with the intent to further disseminate knowledge, including publication