



Compliance Scavenger Hunt

Compliance Week 2021

Instructions: Now that you've read about Compliance Week, let's test your knowledge of Institutional Compliance. Go to Chapman.edu/compliance and do some digging to answer the questions below. Once you've completed quiz, send your answers to compliance@chapman.edu for a chance to win a prize.

#1: Who is Institutional Compliance's Chief Compliance Officer?

- A) Jerry Price
- B) Gail Nishida
- C) Helen Norris
- D) Daniele Struppa

#2: How many elements are part of an effective compliance program?

- A) 2
- B) 4
- C) 6
- D) 8

#3: What site does Institutional Compliance use for reporting?

- A) Compliance360
- B) ReportNow
- C) EthicsPoint
- D) FraudAway

#4: How many policies can be found on Institutional Compliance's site?

- A) 6
- B) 10
- C) 17
- D) 22

#5: Under the "Frequently Asked Questions," which of the following is NOT a section?

- A) General
- B) Reporting Process
- C) Reporting Security & Confidentiality
- D) Fraud ideas

#6: True or False: Institutional Compliance has released 3 issues of the Compliance Matters Newsletter?

- A) True
- B) False

#7: What resources are available under the "Resources" tab?

- A) Compliance Matrix
- B) Ethical Concerns Brochure
- C) All of the above
- D) None of the above

#8: True or False: The Federal Sentencing Guidelines provides guidance on an effective ethics and compliance program.

- A) True
- B) False