



CHAPMAN UNIVERSITY

Drug-Free Schools and Campuses Regulations [Edgar Part 86] Biennial Review: Academic Years 2020-2021 & 2021-2022

Committee

Dr. Jerry Price, Vice President for Student Affairs and Dean of Students
Dr. Nina LeNoir, Vice Provost of Undergraduate Education
Dr. Christopher Hutchison, Assistant Vice President for Student Affairs and Associate Dean of Students
Dr. Dani Smith, Director of PEER and Health Education
Rick Gonzalez, Chief of Public Safety
Tristan Hilpert, Assistant Dean of Students, Student Conduct
Dr. Juan Tinoco, Assistant Dean of Students, Residence Life and First Year Experience
Doug Aiken, Associate Director of Athletics
Jaclyn Dreschler, Assistant Director, Student Engagement
Sam Martinez, Wellness Coordinator, Residence Life
Tim Frenchcampbell, Wellness and Leave Administrator
Michael Nee, Director, Employee Relations
Jadie Lee, Senior Advisor to the Vice President of Human Resources

Contents

Introduction.....	1
Biennial Review Process.....	1
Annual Policy Notification Process.....	1
Alcohol and Other Drug Trend Data.....	2
Alcohol and Other Drug Policies.....	2
Alcohol and Other Drug Policy Enforcement.....	2
Alcohol and Other Drug Prevention/Intervention Programs.....	4
Review of Alcohol and Other Drug Program Goals.....	7
Review of Current Alcohol and Other Drug Program.....	9
Goals and Objectives for Next Biennium.....	9
Drug-Free	
Appendices.....	11
Appendix A: Related Student Conduct Code Policies.....	11
Alcohol Policy.....	11
Illegal/Controlled Substance Policy.....	12
Safe Harbor.....	13
Information Regarding the Impact of Alcohol and Other Drug Use.....	13
Good Samaritan Policy.....	14
Medical Amnesty Policy.....	14
Sexual Misconduct Amnesty Policy.....	14
Appendix B: Smoking Policy.....	15
Appendix C: Chapman University Athletics Department Drug and Alcohol Policy.....	16
Appendix D: Student Organization On-Campus Events with Alcohol Policy.....	17
Appendix E: Dissertation Discussion Chapter Discussing the Effectiveness of the Healthy Panther Presentation.....	19
Appendix F: Attendance for the Department of PEER and Health Education’s Programs ..	21
Appendix G: Employee Policies Related to Alcohol and Drugs.....	22
Appendix H: Healthy Minds Data.....	28

Introduction

To receive federal funding or other financial assistance, institutions of higher education are required by the Drug-Free Schools and Campuses Act (DFSCA) to certify that they have adopted and are implementing a program to prevent the unlawful possession, use, and distribution of alcohol and illegal drugs on their campuses and as part of their activities. In compliance with the DFSCA, Chapman University conducted a biennial review of its alcohol and drug programs and services. The Vice President for Student Affairs and Dean of Students, or designee, shall chair a Committee of University employees to perform the biennial review in even years. The Committee shall be comprised of, at a minimum, a representative from the Dean of Students Office, the Director of PEER and Health Education, a representative from the faculty, and a representative from Human Resources.

Biennial Review Process

The Biennial Review Committee was convened in April 2023. This biennial review covers the academic years 2020-2021 and 2021-2022. Data for the biennial review was collected by the Committee chair, with the assistance of Committee members, and reviewed by the entire Committee. The Assistant Dean of Students oversees the Student Conduct Office and supplies student conduct code violations and sanctions data. Human Resources provided data on Human Resources policy violations. Committee members and their campus titles are available on the cover page.

The Biennial Review Committee shall be comprised of one representative, at a minimum, from the following areas: the Dean of Students Office, PEER Education, Residence Life and First Year Experience, Athletics, Student Engagement, Human Resources, the Provost's Office, Public Safety, and the Office of Institutional Compliance. The committee shall be chaired by the Vice President for Student Affairs or their designee. After the chair has drafted the report and approved it by the Committee, it shall be reviewed and approved by the Vice President for Student Affairs and Dean of Students and the Chief Operating Officer.

Biennial reviews are kept on file and are available for review for three years after the fiscal year it was created under 34 C.F.R. § 86.103. The biennial reviews are held in the office of the Vice President for Student Affairs and Dean of Students. Any requests for copies of the current or previous biennial reviews can be made to this office.

The Biennial Review Committee did not meet between the completion of one review and the start of another. However, to better track and meet the goals outlined in this document, the Committee will meet more regularly than during the summer/fall semesters in which the review is completed.

Annual Policy Notification Process

Students and employees are notified annually via campus email of the annual policy notification. Additionally, the policy information is available on the website for review. Students who begin during the spring or summer terms receive a separate email with the same notification after the census date for their start term. New employees receive this notification with their new hire paperwork.

Policies are available on the University's website for the respective groups to access as appropriate.

The University maintains recordkeeping requirements, including keeping a copy of the biennial review and other compliance documents for three years after the fiscal year the record was created under **34 C.F.R. § 86.103**.

Alcohol and Other Drug Trend Data

In the Spring 2022 semester, Chapman University participated in the Healthy Minds Survey and collected data on student alcohol and drug use. This data is included as an appendix. The survey shows high usage of marijuana in the survey sample.

Alcohol and Other Drug Policies

Policies that apply to this report are included in the appendices. Links are included below, but some may only be accessible behind the University firewall. Publicly accessible policies within the documents listed below are included as appendices.

- [Staff Handbook](#)
- [Faculty Manual](#)
- [Student Conduct Code](#)
 - [Alcohol Policy](#)
 - [Illegal Substances Policy](#)
 - [Medical Amnesty Policy](#)
 - [Good Samaritan Policy](#)
- [Student Sexual Misconduct Policy](#)
 - [Sexual Misconduct Amnesty Policy](#)
- [Smoke-Free Campus Policy](#)
- [Athletics Department Alcohol and Drug Policy](#)
- [Student Organization on Campus Events with Alcohol Policy](#)

Human Resources oversee the administration of employee policies in consultation with the appropriate administrative office. The administration of the Student Conduct Code is overseen by the Vice President for Student Affairs and Dean of Students. The Athletics Department administers the Athletic Department policy, although alleged violations of the Student Conduct Code are also subject to adjudication by Student Affairs.

Alcohol and Other Drug Policy Enforcement

There were no alcohol or drug-related fatalities reported at Chapman University or Chapman University-related events during the period of this biennial review.

Student Policy Violations and Sanctions

The Student Conduct Code is enforced for both on- and off-campus student behavior. It is the general practice of the University to defer a student's first and second violation of the alcohol policy if the incident did not involve harm to another person, or property, failure to comply, or abusive behavior directed at another individual. It is also the general practice of the University to defer a student's first violation of the illegal substances policy if the incident involves only

marijuana and meets the criteria above. A found violation means that a student was found responsible for violating the procedure; a deferred violation means that a student is responsible for a violation, but that violation will be held in abeyance if no other violations of the conduct code occur.

2020-2021

Policy	Found Violations	Deferred Violations
Alcoholic Beverages	25	89
Illegal Substances	13	7

2021-2022

Policy	Found Violations	Deferred Violations
Alcoholic Beverages	42	235
Illegal Substances	11	16

Students that are responsible for violating the alcohol or illegal substances policies may be sanctioned. Sanctions can include a change in student status (ranging from a formal warning to expulsion) or may be educational. Students may receive a status sanction and an educational sanction(s). Students are required to complete the sanction as assigned. Students who fail to meet the assigned sanction will have a dean’s hold placed on their account, preventing them from adding courses or receiving transcripts from the University. The Assistant Dean of Students, Student Conduct, or designee, reviews incomplete sanctions monthly to place holds. Staff who review sanctions are trained to consistently enforce them and request the Student Conduct Office place holds on student accounts.

The number of found violations during this Biennial Review was reduced from the previous Review due to the COVID-19 pandemic and subsequent protocols.

2020-2021

<u>Sanction</u>	<u>Assigned Frequency</u>
Deferred Suspension	0
Probation	1
Conduct Review	12
Formal Warning	3
Change in Status (Other)	0
Write a Reflection Paper	13
Other	9
Meet with a University Official	3
Write a Research Paper	0
Create an Educational PowerPoint Presentation	1
Participate in Choicepoints and Post Choicepoints Reflection	2
Review a Video	0
Restitution	0
Create an Educational Brochure	0
Under the Influence (Alcohol Education Program)	1

2021-2022

<u>Sanction</u>	<u>Assigned Frequency</u>
Deferred Suspension	1
Probation with Loss of Privileges	0
Probation	6
Conduct Review	3
Formal Warning	6
Write a Reflection Paper	16
Other	5
Meet with a University Official	9
Write a Research Paper	4
Review a Video	1
Create an Educational PowerPoint Presentation	3
Participate in Choicepoints Program and Reflection Post Choicepoints	0
Good Neighbor Course	3
Letter of Apology	1
Under the Influence (Alcohol Education Program)	0
Marijuana 101	0
Restitution	2
Create an Educational Brochure	1

Employee Policy Violations and Sanctions

For 2020-2021 and 2021-2022, Human Resources reported no employee violations of the University's alcohol and drug policy.

Alcohol and Other Drug Prevention/Intervention Programs

During the 2020-2021 and 2021-2022 academic years, the Office of PEER and Health Education continued to emphasize healthy and wise decision-making for students, focusing on alcohol and other drug prevention education programming and sexual assault prevention with presentations conducted for new and transfer undergraduate students, fraternity and sorority members, classes, and individual students. Several training programs were designed and presented for campus groups and academic classes covering physical, social, and psychological wellness throughout the year. Passive educational efforts continue with information available to all Chapman University community members.

Some of the programs offered by PEER and Health Education include:

Healthy Panther Initiative

University-designed, integrated health education prevention orientation strategy. Strong emphasis on responsible consumption, the dangers of excessive alcohol consumption, the risks of other drugs, including prescription drug abuse, and sexual misconduct. The goal is to empower students to make safe and healthy choices. *(See Appendix E for information on the effectiveness of Healthy Panther.)*

Title IX Educational Programs

All Title IX education presented by Dr. Smith includes an alcohol education component. This is strongly tied to individuals' capacity to make decisions, specifically about consent. Peer-reviewed literature is used to give supportive evidence.

Customized Programs

PEER and Health Education provide programs customized to specific student organizations or group needs. Some groups that have hosted such programs include men's and women's athletic teams, fraternities, sororities, and relevant academic courses. While the content is customized as appropriate for each group, the programs review healthy and responsible decision-making about one's alcohol and drug use and educate about alternative healthy ways to cope with life stressors.

Mocktails

Mocktails is a passive alcohol prevention education program offered various times during the academic year. The program provides information related to groups on campus, including excessive consumption and peer pressure. Additionally, Mocktails are held in conjunction with our Walk Against Violence, emphasizing the dangers of hazing regarding alcohol and drug use.

Smoking and Vaping Cessation

Smoking and Vaping Cessation is a free online program available for students through the OC Health Care Agency. Students can access this resource to help with quitting vaping or smoking. Flyers are available on the campus that connects students to the online resource, or students can contact Dr. Smith directly for more information.

Choicepoints

An individualized program for students who are sanctioned or voluntarily connect with Dr. Smith. During the program, Dr. Smith reviews a student's risk for alcohol and other drug abuse and provides skills and strategies to reduce consumption. Clubs and organizations, including sororities, fraternities, and athletic teams. Student leaders and staff often refer students to meet with Dr. Smith for support and education. Additional referrals to our on-campus or local AA recovery meetings, are also made when appropriate.

Passive Programming

Passive educational campaigns include campus-wide access to informational handouts regarding reducing alcohol consumption, smoking cessation, and healthy strategies for stress management (to be used instead of alcohol or other drug use). Information also includes the dangers of over-the-counter drugs, marijuana, cocaine, vaping, related health prevention drug-related information, and access to personal surveys regarding individual usage.

Banners, posters, and other modes of communication are also used to educate our campus community regarding alcohol and drug use and abuse.

Resource/referral information is available for our community, and referrals to Chapman Campus Student Psychological Counseling Services are made for assessment when indicated.

See Appendix F for information on program attendance.

Some of the programs offered by other departments include:

Student Concern Intervention Team (SCIT)

The goal of SCIT is to create a network of care and support for students with concerns that emphasizes early intervention and academic success/progress for students dealing with difficulties and to connect students with appropriate resources or individuals that may help address their needs. The problems students may be experiencing could be academic, social, relationship-based (family, romantic, friends, etc.), psychological, substance abuse, financial, or behavioral. SCIT assesses student behaviors of concern in the community and develops appropriate intervention plans. Any community member concerned about a student can notify SCIT by filling out the [Student Concern Informational Report](#).

Operation Safe Ride

Students, faculty, staff, and visitors can utilize this free escort service. Operation Safe Ride operates the following times: Monday-Wednesday, 7:30 PM to 12:15 AM (PDT); Thursdays, 7:30 PM to 1:45 AM (PDT), Fridays-Saturdays 7:30 PM to 2:15 AM (PST) during the fall and spring semesters. The designated Safe Ride drivers are in constant radio contact with Public Safety. If a student needs an escort and it is after the scheduled hours, students can still call Public Safety at (714) 997-6763 to receive an escort. Additional information on Operation Safe Ride can be obtained on the [Operation Safe Ride website](#).

Rape Awareness Defense (R.A.D.)

RAD is a unique crime prevention program just for women that focuses on women's safety and self-defense techniques. To schedule a RAD training seminar for a group, campus community members are encouraged to [email Sergeant Sean Porter](#) or call Public Safety at (714) 997-6763 or register for other Public Safety training sessions [with their online scheduler](#).

Student Athlete Programming

All Chapman University student-athletes must attend annual compliance and education meetings to discuss the Athletics Department's Drug and Alcohol Policy. Each athlete is required to sign NCAA consent to drug testing and understand the consequences if they were to test positive for an NCAA-banned substance. Each team is also required to draft its own team drug and alcohol policy with clearly defined rules and consequences, signed by each team member before their first date of competition. Finally, the mandatory fall educational programming for student-athletes has partnered with the Healthy Panther Initiative and Dr. Smith addressing drugs, alcohol, and sexual violence with teams receiving additional education during their off-seasons.

Alcohol and Other Drug-Free Programming

Various campus departments/organizations including University Program Board, Student Engagement, and Residence Life and First Year Experience, frequently offer substance-free programs to provide students with entertainment opportunities that do not center around alcohol or other drug consumption.

Life Assistance Program

Employees receive automatic enrollment in New York Life's ComPsych Guidance Resources Employee Assistance Program as part of their benefits package. The program offers confidential assistance, information, and resources, including access to in-person behavioral health assistance, telephonic counseling, and online tools.

Program Assessment Method

When assessing the programming, the Committee reviewed the above programs using the National Institute on [Alcohol Abuse and Alcoholism's CollegeAIM](#) guide. The majority of the program efforts fell into the IND-7 (skills training, alcohol focus), IND-11 (skills training, alcohol plus general life skills), and IND-1 (information/knowledge/education alone), which are shown by the CollegeAIM guide to either be not effective or for there to be too little research to rate effectiveness. A previous study of the Healthy Panther program demonstrated effectiveness in reducing student's risky behavior as it relates to alcohol and drug use, but the study has not been recently repeated. Individual student meetings with Dr. Dani Smith would also fall into a higher effectiveness level. Dr. Smith meets with students who are sanctioned to meet with her, but also with those who seek out her assistance.

Environmental-level strategies at the University (including establishing an amnesty policy, enacting noisy assembly laws, and implementing a safe-ride program) have not been researched enough to rate their effectiveness.

Review of Alcohol and Other Drug Program Goals

The goals and objectives for the current biennium are listed below along with a status update.

2022 Recommendation	Status
To better track and meet the goals set forth in this document, the Committee will meet more regularly than during the summer/fall semesters in which the review is completed. A meeting of the entire Committee will be called at least once a semester, and once during the summer, in odd academic years. Additionally, the entire Committee will meet at least once in the semester following the review.	While the Committee did meet more regularly, the closure of campus and refocus of staff efforts to support students resulted in the Chair not calling regular meetings.
The Dean of Students Office and Wellness & Recreation administered the Healthy Minds survey for the past four consecutive years. The aggregate survey data should be analyzed in late 2023.	The survey was administered in Spring 2022 and the Committee reviewed it as part of this Biennial Review. Recommendations in this review are, in part, a result of the survey. The survey was implemented again in 2023 and data collection is in progress. Data is being utilized to evaluate current alcohol and other drug attitudes, initiatives, and perceptions. This analyzation can provide opportunities for growth in AOD programming.
Enhance programming targeted at first-year students and enhance training for student staff in Residence Life so they are better able to identify alcohol and other drug emergencies and know when to call for medical assistance.	Working with Resident Advisors, we developed and implemented alcohol and other drug education programming for residential students. This included passive initiatives, such as distributing educational materials, and active initiatives, such as

2022 Recommendation	Status
	training Resident Advisors on identifying and intervening in alcohol and other drug emergencies. We also trained student staff on the best practices for interacting with someone under the influence of a substance.
Residence Life First Year Experience and Wellness & Recreation collaborating to create pre-packaged materials (such as bulletin boards) and programs related to student well-being, including information on alcohol and other drug use.	Bulletin Boards related to bystander intervention and alcohol were available for residence hall advisors to check out and utilize in their community. The Wellness Coordinator worked with student staff on delivering evening programming related to alcohol and other drug education.
Develop programming, focused on first year students, to build strategies on declining offers of substances, how to avoid over consumption, the BAC curve, and recognizing and intervening in alcohol emergencies.	The Wellness Coordinator held one-on-one meetings with first-year residential high-risk students focusing on risk reduction behaviors related to substance use. Conversations included information on strategies to; avoid overconsumption, decline offers of substances, and recognize and intervene in emergencies due to substance use. Conversations also included education on the BAC curve and how substances.
Promote a standardized educational process for students who are transported for alcohol or drug intoxication.	Residence Life and First Year Experience staff and Student Conduct staff were provided with updated expectations for students who were transported due to alcohol or drug intoxication and are to assign the student to meet with Dr. Dani Smith. This expectation also comes with additional educational information for the student regarding Chapman's medical amnesty policy.
Promote programming for students in recovery on campus by hosting an Alcoholics Anonymous or similar meeting on campus.	PEER and Health Education collaborated and developed a weekly Alcoholics Anonymous meeting virtually during the pandemic years. An on-campus meeting will be explored for the fall 2023 semester and the remainder of the next Biennium.
The CU Health and Wellness website was created by Student Engagement to provide resources for students, staff, and faculty related, but not limited to academic, emotional, financial, mental, personal, and physical wellness.	This recommendation is migrating from the You@Chapman portal to this new location. It will be evaluated in the next biennium.
Increase student awareness of the Dean of Students Case Manager to provide current information on local/regional mental health and addiction resources. Increase employee awareness of Cigna's Life Assistance	The recommendation was modified to update current Human Resources and Dean of Students services. This recommendation will be evaluated during this Biennium.

2022 Recommendation	Status
Program to provide resources for behavioral health.	
Coordinate with 3 rd Millennium to offer the alcohol and marijuana online prevention and education programs to students to provide a personalized and evidence-based strategy for students on campus. Utilize this resource more often during conduct case sanctioning.	The University coordinated with 3 rd Millennium for alcohol and marijuana sanctioning. As part of the online program, students complete the modules and receive additional, personalized alcohol and/or marijuana education. Data will be evaluated to determine whether this instrument is effective.

Review of Current Alcohol and Other Drug Programs

Strengths and Opportunities

- The Committee noted that the Alcoholics Anonymous meeting that occurs on-campus (or virtually after the pandemic began in March 2020) is a strength and an opportunity for students who are recovering from addiction. This meeting is being phased back to on-campus during the fall of 2023.
- The completion of an assessment of student alcohol and other drug use will provide additional information about how to better target programming efforts for students.
- Increased sanction options that provide personalized feedback intervention for students found in violation of the policy. (Listed as a moderately effective individual-level strategy by CollegeAIM.)
- Increased student programming about vaping and smoking cessation.
- Increased Alcohol and Other Drug programming for students affiliated with Athletics and Fraternity and Sorority Life.

Challenges and Threats

- The Healthy Minds survey showed a concerning amount of cocaine and marijuana use among students.
- Limited programming is provided to graduate students, particularly those at the Rinker campus.
- Post-pandemic related attendance and promotion of events are still challenging. Departments need to increase communication and promotion of events to normalize campus connection and involvement.

Goals and Objectives for the Next Biennium

- Utilize four years of Healthy Minds Survey data to determine the effectiveness of the survey and whether to keep utilizing the study or research other survey instruments. The

committee also recommends forming a subcommittee to create programming recommendations by the end of 2023.

- Schedule at least one meeting during the fall and spring semesters and at least once during the summer.
- Provide increased and enhanced training for student conduct hearing officers in Residential Life about available sanctions and resources for students who have been found to violate the alcohol and illegal substances policies during the summer of 2023.
- Student Government and other student organizations have requested information on obtaining Naloxone as a potential lifesaving measure. The committee should investigate and collaborate with campus entities to evaluate procedures, policies, and potential risk management issues related to these requests.

Drug-Free Schools and Campuses Biennial Review Signature Page

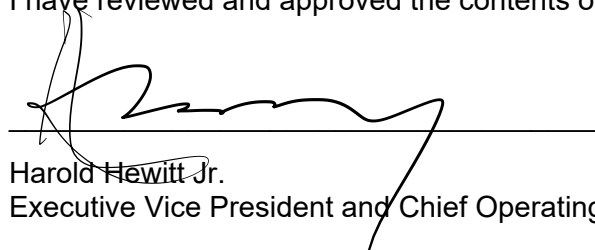
I have reviewed and approved the contents of this 2020 Biennial Review.



Date July 19, 2023

Dr. Jerry Price
Vice President for Student Affairs and Dean of Students

I have reviewed and approved the contents of this 2020 Biennial Review.



Date 7/19/23

Harold Hewitt Jr.
Executive Vice President and Chief Operating Officer

Appendices

Appendix A: Related Student Conduct Code Policies

To comply with the Drug Free Schools and Communities Act of 1989 (DFSCA) and subsequent amendments, students and employees of Chapman University are informed that strictly enforced policies are in place which prohibit the possession, use or distribution of any illicit drugs, including alcohol, on Chapman University property or as part of any Chapman University-sponsored activity unless event-specific permission is given for of-age students to consume alcohol moderately. Students are also subject to all applicable legal sanctions under local, state and federal law for any offenses involving illicit drugs on Chapman University property or at Chapman University-sponsored activities.

Chapman University affirms that illegal drug use is unlawful and harmful. The use of illegal drugs and alcohol abuse by students and employees could result in cognitive deficits, loss of productivity, and other health risks. These risks include an increased risk of accidents, which may result in death or permanent injury. Free, confidential counseling for alcohol and other drug abuse issues is available to students and employees through the Chapman University Counseling Services, Health Services, and the Employee Assistance Program. Other resources may include assessment, individual counseling, educational programs, materials, and referral and case management through community agencies, all of which might include a fee.

Alcohol Policy

The following sections describe Chapman University policy regarding the sale, service, distribution, and consumption of alcoholic beverages on university property or at Chapman University-sponsored events in accordance with federal, state and local laws.

Basic Guidelines

Students who are 21 years of age or older are permitted to possess and consume alcohol in designated University housing rooms. Students who are of legal drinking age may not share or provide alcohol to any students, employees or guests who are under 21 years of age. Those under the minimum legal drinking age of 21 years are not permitted to possess or consume alcohol anywhere on university property or at University-sponsored events. Violations of this policy include:

- The sale, possession, use, consumption, production, purchase, or provision of alcoholic beverages to or by any person under the age of 21.
- Requiring medical attention and/or engaging in disorderly or disruptive conduct due to intoxication causing University officials to respond, even if the subject is over the age of 21.
- Hosting events providing alcohol without first securing authorization from the Vice President and Dean of Students or designee and adhering to the guidelines provided.
- Possessing open containers or consuming alcoholic beverages in any outside location on the University's premises, near any University-owned pool or swimming facility, at events without prior authorization, or in public areas inside University buildings including: residence halls, Davis Community Center, Student Union, campus or residence hall fitness centers, residence hall floor lounges, hallways, or quad areas, except with permission from the Vice President and Dean of Students or designee.

- Consuming alcohol in a University residence when an individual under the age of 21 is present in the same setting.
- Being under the age of 21 and knowingly in the presence of alcohol in a University residence hall space regardless of the amount of time spent in that residence hall space.
- Possessing kegs, common source containers or devices designed for the rapid consumption of alcohol (e.g., beer bongs, funnels.); engaging in behavior (e.g., games like beer pong, flip cup) that promotes the rapid or excessive consumption of alcohol.
- Providing alcohol with the intent of taking advantage of another.

Illegal/Controlled Substance Policy

The following sections describe Chapman University's policy regarding the sale, manufacture, distribution, possession and use of illegal/controlled substances on or off Chapman University property or at Chapman University-sponsored events or programs in accordance with federal, state and local laws. Violations of this policy include:

- Use, possession, sale, distribution and/or production of narcotic or other controlled substances (including marijuana), or acting as an accessory, liaison, or facilitator for any of the above, except as expressly permitted by law.
- The possession or use of marijuana, even with a medical recommendation or medical license, is prohibited on University property in compliance with federal law. Any drugs and/or paraphernalia found to be in a student's possession, University residence, vehicle, or assigned area will be considered to be in the possession of the student whether or not the student is the individual who purchased or furnished the drugs, paraphernalia, or handmade drug use device. Confiscated paraphernalia will not be returned to students at any time.
- Being in the presence of and having knowledge of an illegal substance in a University residence regardless of the amount of time spent in that residence.
- Illegal or improper use of prescription or over-the-counter medicines, even where lawfully prescribed. All prescription medicines must be kept in their original bottle and must have the prescription from the doctor noted on the bottle. Prescription medicines found without their prescription attached will be confiscated until such time that the prescription can be produced. All prescription medications must be prescribed to the person in possession of the medication.
- Possession of drug paraphernalia (i.e., rolling papers, pipes, bongs, etc.) for intended or implied use of any form of illegal substance) regardless of whether it is purchased or handmade, even as props for filming.
- Possessing paraphernalia that contains or appears to contain any form of an illegal substance.
- Use of a legal substance in an improper manner (e.g. ingesting a cleaning chemical, inhaling other chemical substances for the purpose of intoxication).
- Providing drugs with the intent of taking advantage of another.
- Using mail services to purchase, pass, or distribute illegal substances

The possession or use of marijuana, even with a medical recommendation or when the student is 21 years of age or older, is prohibited on University property.

Any drugs and/or paraphernalia found to be in a student's possession, residence, vehicle, or assigned area will be considered to be in possession of the student whether or not the student is the individual who purchased or furnished the drugs or paraphernalia.

Students must be able to provide a prescription (such as a bottle or a written prescription from a doctor) for prescribed medications. Prescription medicines found without their prescription will be confiscated until such a time that a prescription can be produced.

This policy provides flexibility for Chapman University in addressing drug-related offenses which occur on- or off-campus. Moreover, it permits the Chapman University to address its fundamental mission of holistic education and the development of human potential. While recognizing that there is a need to address violations related to the use or possession of controlled substances, the Chapman University must address the education and well-being of all its students and employees. In addition to Chapman University imposed sanctions, students and employees are subject to all legal sanctions under federal, state and local law for any offenses involving illegal drugs on Chapman University property or at Chapman University activities.

Safe Harbor

The Chapman University has a Safe Harbor rule for students. The Chapman University believes that students who have a drug and/or alcohol addiction problem deserve help. If any Chapman University student brings their own use, addiction or dependency to the attention of Chapman University officials outside the threat of drug tests or imposition of the conduct process and seeks assistance, a conduct complaint will not be pursued. A written action plan may be used to track cooperation with the Safe Harbor program by the student. Failure to follow the action plan will nullify the Safe Harbor protection and the campus conduct process will be initiated.

Information Regarding the Impact of Alcohol and Other Drug Use

Information regarding health risks of alcohol and drug use can be found in [the University's Annual Policy Notification](#).

Good Samaritan and Amnesty Policies

Chapman University cares about the safety and welfare of each member of its community. At times, community members may need assistance. Sometimes students are hesitant to call assistance for fear that the student needing assistance or themselves may be charged with University policy violations. Chapman University hopes to remove this fear by clarifying the policies to encourage students to report sexual misconduct and to seek assistance for themselves or others who need help.

The Good Samaritan Policy applies to students seeking help on behalf of other students and the Medical Amnesty Policy applies to the person(s) in need of medical attention. The Sexual Misconduct Amnesty policy applies to both any witnesses and the complainant in an incident. These policies only apply to violations of the Code. All other matters (such as employment, athletic eligibility/participation, leadership or volunteer positions and similar circumstances) may be addressed separately as appropriate by University personnel. This policy does not prevent action by police or other legal authorities. See also the [Student Sexual Misconduct Policy](#).

Good Samaritan Policy

The Good Samaritan policy allows the University to eliminate conduct consequences as articulated in the Student Conduct Code for students, who may be under the influence of alcohol or other substances, who make a good faith call for medical or other help on behalf of another student (See also Sexual Misconduct Policy). This policy applies in situations involving alcohol, other drugs, interpersonal violence, and other situations considered dangerous by a reasonable individual. This means that no formal university conduct actions or sanctions will be assigned to the reporting student(s) for minor violations relating to the incident. The incident will still be documented and educational interventions may be required as an alternative to conduct action. This policy does not protect against repeated or serious violations of the Code such as, but not limited to, abusive behavior, failure to comply, interpersonal violence, and distribution of alcohol and/or other drugs, hazing, theft, property damage, etc.

Medical Amnesty Policy

The medical amnesty policy is a reduction in the conduct consequences for students who receive medical attention due to alcohol intoxication, alcohol poisoning, or other drug intoxication. Students who receive medical attention for alcohol and other drug intoxication will be required to complete educational interventions. This means that no formal University conduct actions or sanctions will be assigned to the student, unless the student fails to complete the assigned educational interventions. This policy shall only apply to a student's first alcohol/drug policy violation that requires medical attention, regardless of how the response was initiated. Additionally, this policy does not protect against serious violations of the Code such as, but not limited to, abusive behavior, failure to comply, interpersonal violence, and distribution of alcohol and/or other drugs, hazing, theft, property damage, etc.

Sexual Misconduct Amnesty Policy

To encourage and support the reporting of incidents of sexual misconduct, students who participate as witnesses or complainants in sexual misconduct investigations covered by the [Student Sexual Misconduct Policy](#) will not be held accountable for violations of the Code that may have occurred at the time of or as a result of the incident in question (for example, being under the influence of alcohol or other drugs), unless the University determines that the violation was egregious. Egregious violations include, but are not limited to, actions that place the health or safety of another other person at risk or that involve academic dishonesty.

Appendix B: Smoking Policy

Chapman University is committed to a philosophy of good health and a safe learning and working environment. In keeping with this philosophy, it is important that our environment reflect the University's concern for wellness.

The Chapman University campus in Orange, and the Harry and Diane Rinker Health Science Campus in Irvine have been designated as smoke free environments. Based on this designation, smoking is absolutely prohibited on both campuses and all university-owned property. This policy applies to all university faculty, staff, students and visitors. Visitors include university volunteers, contractors, vendors, prospective students and all other guests that enter onto Chapman University property.

For the purposes of this policy, smoking is defined as inhaling, exhaling, burning or carrying a lighted cigarette and other tobacco or plant products, including electronic devices, such as electronic cigarettes that deliver nicotine or other vaporized liquids. The sale or distribution or any tobacco product, including smokeless tobacco products, is also prohibited. Additionally, sponsorship of a university activity or event by a tobacco product manufacturer is prohibited unless explicitly authorized in writing by the Office of the President.

It is expected that compliance will be achieved by the thoughtfulness, civility and cooperation of all members of the campus community, including visitors. We also encourage respect and consideration of our neighboring community and their property. Please dispose of cigarette butts and related materials in appropriate receptacles. Compliance is grounded in an informed and educated campus community. Egregious infractions or ongoing and unresolved issues related to this policy will be addressed through the applicable administrative processes.

In the interest of good health, faculty and staff who are interested in smoking cessation programs are encouraged to access information through their [Cigna](#) or [Kaiser](#) health plan, and/or through the [Life Assistance Program](#).

Students interested in smoking cessation programs are encouraged to access information available through the Student Health Center.

The following links also provide information and support that may be helpful:

- [National Cancer Institute](#)
- [Orange County Health Public Health Services](#)

Appendix C: Chapman University Athletic Department Drug and Alcohol Policy

By having the opportunity to participate in collegiate athletics, student athletes not only represent themselves, but also represent their team, the athletic department, and the entire Chapman University community. The actions of individual athletes reflect upon these various organizations. Chapman athletics promotes athletic opportunities in which student athletes can excel in competition, achieve success in sports and have fun while participating in athletics in a safe and healthy environment.

In order to represent the University in a positive manner, support the competitive abilities of athletes, and follow NCAA requirements, each team program shall create a drug and alcohol policy. This mandatory policy must adhere to the California State Law, Chapman University Student Conduct Code Policies, and NCAA Rules pertaining to drug and alcohol use.* Athletes are encouraged to be included in the process of developing the policy. This policy shall address both in and off season issues, and include consequences for failure to follow the policy. The written policy shall be submitted to the Athletic Director for approval and be communicated to the student athletes before the first competition every year. In addition to consequences regulated by the team policy, any behavior that is disruptive or detrimental to the Chapman community due to drug or alcohol use is subject to discipline through the athletic department and the institution. Disciplinary actions may include but are not limited to attendance in a drug rehabilitation program, counseling, and suspension from athletics and Chapman University.

The team policy shall include the athletic department policy regarding team events. Team events shall include but are not limited to travel, barbecues, fund raisers, clinics, scouting, recruiting, banquets or any other function. The athletic department maintains a zero tolerance policy on the use of alcohol or illegal drugs by any athletic department representative during team events. Students, Coaches, athletic administrators, and athletic trainers shall adhere to this policy while involved in official team activities. Violation of this policy may result in the suspension of the individual(s) involved in the violation. Recognizing that the activities of parents and fans cannot be mandated, coaches and administrators are encouraged to communicate the Chapman University athletic department policy with all individuals involved in team activities.

The athletic department also requires student athlete attendance at department-sponsored educational programs once a semester. Student athletes concerned about their own substance use or that of a teammate are encouraged to seek assistance. Campus resources include the Athletic Training Department, the Department of Peer and Health Education, Student Health Services, and athletic department staff.

Chapman University student athletes have enjoyed a long history of success not only in athletic competition, but also in many other endeavors. Student athletes are encouraged to continue this tradition by making choices that allow them to be safe, show respect for their teammates and coaches, and also reflect the University's standards of excellence.

*These guidelines can be viewed at the following websites:

<http://www.hmc.edu/admin/deanstu/alcohol.html> (Scroll down to California Business and Professions Code)

<http://www.chapman.edu/studentlife/conduct/>

<http://www.ncaa.org>

Appendix D: Student Organization On-Campus Events with Alcohol Policy

Any **on campus** event planned by a student group at which alcohol service is requested must follow this policy*:

1. The possession, sale, use or consumption of alcoholic beverages must be in compliance with any and all applicable federal, state, county, and city laws, as well as the Chapman University Student Conduct Code.
2. Per the Student Conduct Code, all events at which alcohol is served must also include provisions of a free alternate non-alcoholic beverage and free food throughout the event. The amount of food is to be arranged with Sodexo catering, as there must be sufficient food present in relationship to the amount of alcohol being served.
3. Per the Student Conduct Code, the service and/or consumption of alcohol beverage is to be complementary to the event, and under no circumstance should an event have the consumption of alcohol as its primary purpose. As a result, advertising for any event may not include the information that alcoholic beverages will be served as a primary component of marketing.
4. Only beer and wine may be served. The use of common containers (e.g. kegs) is prohibited. The amount of alcoholic beverages present at the event must be predetermined and stated on the alcohol permit application.
5. Alcohol must be procured by Sodexo. It should not be provided by the student group.
6. No alcoholic beverages may be purchased through or with University funding, including student fees (e.g. SGA or UPB funding).
7. All alcohol must be purchased by the drink from Sodexo bartenders (or their contracted designee).
8. The bartenders will be responsible for checking IDs. Whenever possible, student IDs should also be checked to verify age and identity.
9. A separate, contained area must be designated for those over 21 for alcohol consumption. No alcohol will leave this area.
10. All recruitment/rush, new member, ritual, and initiation activities associated with any student group will be non-alcoholic.
11. No event shall include or encourage "drinking games." The definition of drinking games includes but is not limited to the consumption of shots of alcohol, liquor or alcoholic beverages, the practice of consuming shots equating to one's age, "beer pong," "century club," "dares" or any other activity involving the consumption of alcohol which involves duress or encouragement related to the consumption of alcohol.
12. A designated event host shall be required for any event at which alcohol is served. The host must be present to supervise during the entire event to assure compliance. The host must be at least 21 years of age and refrain from consuming alcohol before, and throughout the event.
13. Public Safety Officers or additional security may be required to be present at any student event at which alcohol is served. The number of officers needed for the event will be determined by the Department of Public Safety on the basis of the anticipated attendance and the amount of alcohol served. Student groups may be responsible for covering the cost of additional officers.
14. Public Safety maintains the right to turn intoxicated students away from the event or to shut down the event early due to safety concerns.

15. In the interest of maintaining good community relations with the neighbors, the hosting group will make a good faith attempt to keep trash from the event from being left or deposited on adjacent property, sidewalks, and streets and will be responsible for cleaning up any trash within 12 hours following the event.
16. Gatherings/events shall be limited to the physical boundaries of the property of the host group. Spillover into the street or surrounding properties is prohibited.

*Each event is considered on its own merits and content and specific additions or changes to this policy may be necessary to accommodate the variety of events occurring on campus.

Alcohol Permit Process

1. Students should review the above policy and plan their event accordingly.
2. Students must complete the Alcohol Permit application with appropriate signatures.
3. Students must attend the Student Events Advisory Committee meeting on Fridays from 12-1pm in the Argyros Forum Student Union Stage Area. Appropriate signatures may be obtained at the committee meeting.
4. After the permit is signed by the Dean of Students, the event organizer will be contacted to pick up the permit from the Department of Student Engagement.
5. A copy of the permit must be available at the event to review upon request.

Appendix E: Dissertation Discussion Chapter Discussing the Effectiveness of the Healthy Panther Presentation

(Smith, D. [2013] Alcohol, consent, sex: Reducing college students' risky behaviors utilizing an integrated orientation model (Doctoral Dissertation). Retrieved from <https://pqdtopen.proquest.com/pubnum/3576648>

The results of the present study suggest that an integrated health education model offering prevention information, including operationally defined prevention skills and strategies regarding alcohol abuse, sexual assault, and high-risk sexual behaviors can lead to behavior change in a college student population. These results are consistent with other findings that alcohol use is amenable to change (Testa & Livingston, 2009) and that programs that combine alcohol and sexual assault prevention are effective (Foubert & Newberry, 2006). The new findings of the current study are that students who received the integrated health education intervention also reported a decrease in risky sexual behaviors. Another finding was that the program was more effective for students planning on rushing a fraternity or sorority than it was for other students. At both pre-test and post-test, students planning to rush a fraternity or sorority reported more risky behavior around alcohol than their peers who were not planning to rush, but the magnitude of that effect was smaller at post-test than at pre-test. For risk related to the combination of alcohol and sexual assault, risk declined at post-test also. This suggests that while there was a reduction in risky behavior overall for participants in the IHEP, the reduction was even greater for students planning to affiliate with a fraternity or sorority.

A mandatory orientation program that integrates issues of alcohol, sexual assault, and safer sexual behaviors can be the first step toward community change on a college campus. If all students are required to attend the same program during orientation, students will share a common understanding of these social topics. Concepts and behaviors that are operationally defined become less ambiguous and easier for students to understand. Important concepts from the IHEP are the definitions of consent, coercion, rape, sexual assault, binge drinking, responsible consumption, and safer sexual behaviors. Students must know the resources available to provide early intervention and help to mitigate the consequences of high risk behaviors. The most distinguishing element of the IHEP is the integrated nature of the topics, including focus on their co-occurrence on campus.

There is increasing national attention on the topics of this study, including: The White House Task Force to Protect Students From Sexual Assault (2014), and the federal mandate that all institutions of higher education receiving federal funds must provide rape prevention programs (McMahon, 2010). Findings from the current study indicate that efforts to protect students from sexual assault should also include educational programming around alcohol. Moreover, such programming can protect the health of students if it also includes information about the ways that alcohol can impair one's decision making regarding safer sexual practices. Integrated strategies like the IHEP model seem to be an effective step in working toward creating safer campuses. According to the NIAAA (2012) "strong leadership from a concerned college president in combination with an involved campus community and a comprehensive program of evidence-based strategies can help address harmful student drinking" (p. 4). Part of that comprehensive plan should be educational programming that addresses the intersecting risks related to alcohol, sexual assault, and sexual behaviors.

Another contribution of this study is as an example of institutional assessment relative to these topics. The White House Task Force (2014) charges institutions to assess their campuses in terms of their effectiveness at maintaining a safe environment for students around

sexual assault. The White House report references work of the CDC (2014) arguing that “Rigorous research methods, like randomized controlled trials, that examine the impact of prevention strategies on sexually violent behavior provide the strongest evidence of effectiveness” (p. 4). The IHEP assessment is not a randomized controlled trial, but it does examine the impact of prevention strategies and it statistically controls for differences between groups. In the CDC’s review of effective educational programs, they found only two that met their criteria for effectively reporting behavior change, and those two were conducted with middle-school students. The CDC argues for more comprehensive assessment that focuses on behavior change. The IHEP assessment identifies changes in student behavior before and after program intervention and also compares behavior of participants to non-participants, thus offering two strategies for determining program effectiveness.

Appendix F: Department of PEER and Health Education's Programs Attendance

2020-2021

- Healthy Panther: 2,090
- University Program Board Alternative Programs and Events:
 - Fall 2020: 28 programs/events.
 - Spring 2021: 26 programs/events.
- Orientation Mocktails Alcohol Education: Cancelled due to pandemic protocols.
- Fraternity New Member Education Program Fall 2020 – 60 students.
- Fraternity and Sorority New Member Education Program Spring 2021 – 450 students.

2021-2022

- Healthy Panther: 2,389
- Orientation Mocktails Alcohol Education: Cancelled due to pandemic protocols.
- University Program Board Alternative and Events.
 - Fall 2021: 27 programs/events.
 - Spring 2022: 22 programs/events.
- Passive Programming Numbers
 - Brochures: 800
- Fraternity New Member Education Program Fall 2021 – 140 students.
- Fraternity and Sorority New Member Education Program Spring 2022 – 440 students.

Appendix G: Employee Policies Related to Alcohol and Drugs

Alcohol and Substance Abuse Policy

Statement of Philosophy

In keeping with its institutional mission, Chapman University seeks to provide an environment which is conducive to the pursuit and acquisition of knowledge and which fosters the social, cultural and intellectual growth of students. Responsibility for the preservation of a quality academic environment rests with faculty, staff, and students alike. Chapman University is committed to teaching responsible, mature decision making and concern for self and others rooted in solid values and in a life-preparatory philosophy. This Alcohol and Substance Abuse Policy is imbued with these philosophies. Chapman University seeks to fulfill this commitment first and foremost through educational means relying heavily on positive staff and faculty role modeling, the formation and use of a peer education network and curriculum infusion whenever possible. Factual information and knowledge regarding alcohol and drugs, skills and strategies for achieving and maintaining healthy behaviors, creation of a cooperative and consistent campus peer environment and compliance with all local federal regulations are components of this educational agenda. Illegal and abusive use of alcohol and other drugs by any member of the campus community constitutes an untenable threat to the community and signals a need for intervention on the part of the University. There are a number of individuals and offices that can provide confidential information and consultation regarding alcohol and drug issues. These include Student Psychological Counseling Services, Student Health Services, and the Director of Peer and Health Education. In addition, the Dean of Students, Director of Student Activities and Organizations, the University Chaplain, Director of Human Resources, and/or an alcohol and substance abuse peer educator can be contacted for assistance.

Federal, State and Local Laws Regarding Drug and Alcohol

It is the University's belief that all disciplinary sanctions should assist in education and provide the opportunity for personal growth; to that end, counseling and referral for individual assessment may be included as a condition of any sanction. However, the University is required to adhere to all laws applicable to the dissemination and use of drugs and alcohol. In compliance with the Drug Free Schools and Communities Amendments Act and Drug-Free Workplace Act, the following summarizes the principal laws applicable to Chapman University. Complete copies of these laws and advice regarding their application are available from the Office of Student Activities and Organizations.

a) Laws Regarding Drug Use

The Federal Controlled Substances Act provides penalties of up to 15 years imprisonment and fines up to \$25,000 for unlawful distribution or possession with intent to distribute narcotics. For unlawful possession of a controlled substance, a person is subject to up to one year of imprisonment and fines up to \$5,000. Any person who unlawfully distributes a controlled substance to a person under twenty-one years of age may be punished by up to twice the term of imprisonment and fine otherwise authorized by law. Criminal Sanctions under California Law for the unlawful possession or distribution of illicit drugs and alcohol include the following:

1) Imprisonment in State prison for possession of specified controlled substances, including opium derivatives and cocaine (Health and Safety Code Section 11350).

- 2) Imprisonment in State prison for two to four years for possession or sale of specified controlled substances including opium derivatives and cocaine (Health and Safety Code Section 11351).
- 3) Imprisonment in state prison for three to five years for possession for sale of cocaine base (Health and Safety Code Section 11351.1).
- 4) Fine not exceeding \$50,000 for possession for sale of heroin (Health and Safety Code Section 11352.2).
- 5) Fine of not more than \$100 for possession of less than 28.5 grams of marijuana; imprisonment in county jail and/or fine of not more than \$500, or imprisonment in State prison for possession of concentrated cannabis (Health and Safety Code Section 11357).
- 6) Imprisonment in State prison for possession for sale of marijuana (Health and Safety Code Section 11359).

b) Laws Regarding Alcohol Use

Chapman University has established an alcohol use policy based on the tenet that those serving and drinking alcohol will do so responsibly, with concern for others around them, and with an understanding of the social, personal and legal issues involved. It is the responsibility of the persons or organization requesting an alcohol permit (see Chapman University Alcohol Permit) to be familiar with and abide by all laws regarding the sale and use of alcoholic beverages. The following is a summary of the more important laws that directly relate to the University's Alcohol Policy:

- 1) Minors Definitions: The State of California requires that individuals be twenty-one (21) years of age to purchase alcoholic beverages or to consume it in any on-sale premises (Business and Profession Code §256658 (b)). Minors who use any form of false identification in order to obtain alcoholic beverages are guilty of a misdemeanor and subject to at least a \$200.00 fine (Business and Professional Code §25661). It is also unlawful to provide a minor with a false identification for any purpose (Business and Professional Code §25660.5).

Additionally:

- a) It is a misdemeanor for anyone to sell, furnish, or give or cause to sell, furnish, or give any alcoholic beverage to a minor (Business and Professional Code §25658(a)).
- b) It is prohibited to advertise alcoholic beverage in such a way as to encourage minors to drink (Business and Professional Code §25664).
- c) It is a misdemeanor for a minor to have any alcoholic beverage in his or her possession on any street or highway or in any public place or in any place open to the public (Business and Professional Code §25662(a)).
- d) Any minor who purchases any alcoholic beverage, or any minor who consumes any alcoholic beverage, or any minor who consumes any alcohol beverage in any on-sale premises, is guilty of a misdemeanor and shall be punished by a fine of not less than \$200.00, no part of which shall be suspended (Business and Professional Code §25658(b)).

e) Minors attempting to purchase alcoholic beverages will be fined \$100.00 for the first offense and \$250.00 for a second or subsequent offense. Violators may also be required to perform 36 hours of community service (Business and Professional Code §25658.5).

f) No minor shall knowingly drive any motor vehicle carrying any alcoholic beverage, unless the minor is accompanied by a parent or legal guardian (Business and Professional Code §23224(a)).

g) Peace officers who lawfully enter a premises may confiscate alcoholic beverages which are in plain view and possessed by or provided to minors at social gatherings. The gatherings must be open to the public, have 10 or more minors in attendance, with minors consuming alcoholic beverages and no supervision by the parent or guardian of one or more of the participants. Alcoholic beverages in open containers that are confiscated may be destroyed while those in unopened containers shall be impounded for not more than seven (7) working days after which they too may be destroyed. Unopened containers may be released within the seven (7) days to the owner or resident of the property provided they are 21 years of age (Business and Professional Code §25662(b)).

h) Any person providing an alcoholic beverage to a minor will be contributing to the delinquency of a minor and guilty of a misdemeanor (Penal Code §272).

2) Possession of Alcohol in a Public Place It is unlawful to be in possession of alcoholic beverages in a public place (Orange Municipal Code 9.16.050). A public place is defined as any location where all members of the public have unrestricted access. This includes, but is not limited to, outside walkways within the University Campus and walkways and balconies within the Residence Halls and apartments.

3) Intoxicated Person Definition: The use of intoxicating liquor by the average person in such quantity as to produce intoxication causes many commonly known outward manifestations which are "plain" and "easily seen or discovered". [People of the State of California v. Johnson, 185 P.2d 105 (Cal.App. Sup. Ct. L.A. Cty. 1947), p. 106]. Additionally, the sale or furnishing of alcoholic beverages to an obviously intoxicated person is a misdemeanor (Business and Professional Code §25602).

4) Operation of Vehicle

a) It is unlawful for any person who is under the influence of an alcoholic beverage or any drug, or under the combined influence of an alcoholic beverage and any drug, to operate a bicycle or a motor vehicle (Business and Professional Code §23152(a)).

b) No person shall drink any alcoholic beverage while driving a motor vehicle upon any highway (Business and Professional Code §23220).

c) No person shall have in his or her possession, on his or her person, while driving a motor vehicle upon any highway, any bottle, can or other receptacle, containing an alcoholic beverage which has been opened, or a seal broken, or the contents of which have been partially removed (Business and Professional Code §23223).

5) Sale of Alcohol It is a misdemeanor to sell alcoholic beverages without a license from the State Alcoholic Beverage Control Board (Business and Professional Code §23300 and §23301). Included are forms of indirect sales such as selling tickets which may be exchanged for drinks,

tickets of admission which include an alcoholic beverage or "passing the hat" during an event to cover the cost of alcohol.

Health Risks Associated with the Use of Drugs and Alcohol

The use of any mind or mood-altering substance, including alcohol, can lead to psychological dependence, which is defined as a need or craving for the substance and feelings of restlessness, tension or anxiety when the substance is not used. In addition, with many substances use can lead to physical tolerance, characterized by the need for increasing amounts of the substance to achieve the same effect, and/or symptoms when the substance is no longer being used. As tolerance and psychological or physical dependence develop, judgement becomes impaired and people often do not realize they are losing control over the use of the substance and that they need help. It is impossible to accurately predict how an individual will react to a specific drug or alcohol because effects vary depending on the person, environmental variables, the dosage and potency of the substance, the method of taking the substance, the history of use, and whether the substance is taken in conjunction with other substances. Illegal drugs have particularly unpredictable effects due to variability in dosage and purity. Further, the overall potency of street drugs has increased dramatically making users increasingly susceptible to negative effects. Alcohol acts as a depressant to the central nervous system and can cause serious short and long-term damage. Short-term effects include nausea, vomiting, and ulcers; more chronic abuse can lead to brain, liver, kidney and heart damage, and eventually death. Ingesting a large amount of alcohol at one time can lead to alcohol poisoning, coma and death. Drugs such as LSD, amphetamines, marijuana, and alcohol alter emotion, cognition, perception, physiology, and behavior in a variety of ways. Health risks include but are not limited to depression, apathy, hallucination, paranoia, and impaired judgement, and all substances can have adverse effects on pregnancy. When two or more substances are combined, there is often an effect that is stronger than their additive sum.

Chemical Dependency Recognition and Counseling Policy

Student Psychological Counseling Services (SPCS) operated by the principle that the University has a responsibility to educate, prevent, and/or help procure treatment for individuals with chemical dependency or difficulties origination from family problems involving chemical dependency. SPCS believes that chemically dependent persons can and should be effectively treated and rehabilitated, and views the problem primarily as a disease which can be treated, and not reflective of the moral character of the student. The goal is to help restore the person to full physical and psychological health so that he/she can function productively in personal, academic, and professional pursuits.

SPCS also believes that adequate chemical dependency treatment often requires specialized care, involving intervention, assessment, medical evaluation and detoxification procedures, as well as treatment and adequate follow-up. Facilities at SPCS do not allow for a complete chemical dependency program to be carried out on campus, therefore services provided by SPCS are limited and specific (see Procedures and Protocols for SPCS).

A student who has concerns about personal chemical dependency problems may contact SPCS for a preliminary assessment at 997- 6778. Possible outcomes may include assignment to a counselor at SPCS if the problem is deemed low-risk and appropriate to the level of treatment

and expertise available at SPCS; referral to the Director of Peer & Health Education for education concerning drugs and/or alcohol; referral to Student Health for medical assessment; and/or referral for further assessment by a treatment facility in the community. University administrators, faculty, staff, and students who have concerns about a student's possible chemical dependency should either refer the student to SPCS, or come to the Wellness Center in person. Referrals do not have to be based on a formal diagnosis of chemical dependency. Behavioral or academic problems, impaired work performance, difficulties in interpersonal relationships due to alcoholism or drug abuse are sufficient reasons for referral.

Any student, faculty, administrator, or staff contacting SPCS or Human Resources for chemical dependency issues will be assured of confidentiality. No information will be released without written permission.

Nothing in this statement is to be interpreted as constituting a waiver of the University's responsibility to maintain an environment conducive to education and personal safety or the right to take disciplinary measures in the case of conduct violation that may result from chemical dependency. Students involved in illegal activities are not exempt from their legal liabilities, civilly or criminally.

Life Assistance Program (LAP)

The University has contracted with Cigna's Life Assistance Program to provide ongoing assistance to employees and their family members with a variety of matters including alcohol and substance abuse problems. Information about this program can be found on the Human Resources website.

Education Programs

a) Peer Education Program (PEP)

Chapman University Maintains a Peer Education Program (PEP) to train selected students to provide initial education of students with potential substance abuse problems. PEP training is designed for students whose special position within the University places them in regular, personal contact with other students. An example of such a position is that of the Resident Advisor. Each academic year, the Peer and Health Education Director will solicit students who desire to become Peer Educators. In consultation with the Dean of students and the Director of Student Activities and Organizations/Assistant Dean of Students, the Director of Peer and Health education will select a minimum of two students to enter the PEP. Through appropriate courses presently within the University curriculum, students will receive instruction in the causes and effects of alcohol and substance abuse. During supervised internships, students will receive training in peer education techniques. Supervision of PEP educators will be provided by the Director of Peer and Health Education.

b) Continuing Education Programs (CEP) The University sponsors a number of programs designed to provide students with an understanding of alcohol and substance use and abuse.

Procedures for Students, Staff, Administrators, and Faculty Involved in Alcohol and Substance Abuse

All members of Chapman University must comply with all University policies as well as with local, state, and federal laws with regard to alcohol and drug use. Chapman University and participating law enforcement agencies have agreed to work in a cooperative manner to assist members of the University involved in substance abuse. Procedures outlined have been reviewed by the agencies involved and will be in effect until such time as it is mutually agreed to revise them. All agencies involved in assisting persons involved in substance abuse have agreed to cooperate and work together in assisting corrective measures to avoid duplication and unfair punishment. The University has agreed to release all substance confiscated from its members to the appropriate law enforcement agency.

a) Procedures for Faculty, Staff, and Administrators Involved in Alcohol and Substance Abuse

Chapman University, in compliance with and in support of the Drug-Free Workplace Act of 1988 and the Drug-Free School and Communities Act Amendments of 1989, hereby notifies all employees that the unlawful manufacture, distribution, dispensing, possession or use of illicit drugs and alcohol is prohibited at Chapman University.

Violation of this prohibition by an employee shall result in Chapman University taking appropriate personnel action against the employee, up to and including termination of employment, or requiring the employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency. As a condition of employment, each employee shall abide by the terms of this statement and shall notify Chapman University through the Department of Human Resources of any criminal drug statute conviction for a violation occurring at Chapman University no later than five (5) days after such conviction. Within ten (10) days after receiving notice from an employee or otherwise receiving actual notice of such conviction, the Human Resources Department shall notify the U.S. Department of Education of said conviction. Within thirty (30) days of the Department of Human Resources being notified of said criminal conviction, the Department of Human Resources shall notify the U.S. Department of Education of the personnel action taken.

Chapman University is committed to maintaining a drug-free workplace as outlined in the preceding paragraph and will provide employee assistance through referral drug counseling and/or rehabilitation upon request through the Department of Human Resources. Employees deemed by Chapman University to be in violation of the prohibition short of actual conviction, and short of requesting referral through the Department of Human Resources, shall be subject to the personnel action deemed appropriate by the University as outlined in the preceding paragraph.

b) Procedures for Students Involved in Alcohol and Substance Abuse

The Chapman University Student Conduct Code, Student Handbook and the Guide to Residence Living provide information regarding the codes of conduct and referral sources for Chapman University students involved in alcohol and substance abuse violations.

Appendix H: Healthy Minds Data

The Healthy Minds Study provides detail on mental health and related challenges in college student populations. It is designed to protect the privacy and confidentiality of participants and is approved by Advarra and has a Certificate of Confidentiality from the National Institutes of Health. Chapman provides a randomized sample of 2,000 undergraduate and graduate students each spring for the web-based survey. The data report provides descriptive statistics from the sample of respondents for a set of key measures.

Key findings related to alcohol, tobacco, and other drugs from the 626 students that responded in the 2020-2021 measurement.

“Over the past 30 days, have you used any of the following drugs? (Select all that apply)”

30%	Marijuana
2%	Cocaine
0%	Heroin
0%	Opioid pain relievers without a prescription or more than prescribed
1%	Benzodiazepines
0%	Methamphetamines
2%	Other stimulants without a prescription or more than prescribed
1%	MDMA
0%	Ketamine
0%	LSD
2%	Psilocybin
0%	Kratom
0%	Athletic performance enhancers
1%	Other drugs without a prescription

“During the last two weeks, how many times have you had 4 (female), 5 (male), 4 or 5 (other gender) or more drinks in a row?”

42%	None
21%	Once
17%	Twice
13%	3 to 5 times
6%	6 to 9 times
2%	10 or more times

Key findings related to alcohol, tobacco, and other drugs from the 331 students that responded in the 2021-2022 measurement.

“Over the past 30 days, have you used any of the following drugs? (Select all that apply)”

33%	Marijuana
1%	Cocaine
1%	Heroin

1%	Opioid pain relievers without a prescription or more than prescribed
1%	Benzodiazepines
0%	Methamphetamines
1%	Other stimulants without a prescription or more than prescribed
1%	MDMA
0%	Ketamine
1%	LSD
1%	Psilocybin
1%	Kratom
0%	Athletic performance enhancers
0%	Other drugs without a prescription

“During the last two weeks, how many times have you had 4 (female), 5 (male), 4 or 5 (other gender) or more drinks in a row?” (Among those with any alcohol use)

Female	Male	Other Gender	Frequency
39%	30%	49%	None
22%	26%	31%	Once
20%	17%	0%	Twice
12%	19%	20%	3 to 5 times
2%	5%	0%	6 to 9 times