













Compliance Week is meant to shine a spotlight on the importance of compliance and ethics. The Office of Institutional Compliance has planned Compliance Week to coincide with The Society of Corporate Compliance and Ethics' (SCCE) own Compliance and Ethics Week. Read more about the core principles on the next page.







We want to get employees thinking about compliance and ethics. This is done in multiple ways.

Compliance Week is one way to reach audiences beyond orientation and yearly training.



## **RECOGNITION**

We launched a Chapman specific compliance and ethics training in 2022. We also recognize those who engage with Institutional Compliance by providing prizes to participants.



### REINFORCEMENT

All levels of the organization support a culture of compliance and ethics which is shown by different groups' participation in the Institutional Compliance Committee. In addition, President Struppa has shown his support by mandating ethics training.



# COMPLIANCE WEEK 2022







#### **About Us**

The Office of Institutional Compliance and Internal Audit comprises of two independent and objective functions supporting the visions, goals, and aims of Chapman University through working partnership with university leadership to address risks, assess internal controls, and improve the University's governance.

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# What Is Compliance Week?

Compliance week is meant to shine a spotlight on the importance of compliance and ethics, share information with employees about how to detect violations, and teach employees how to report violations.

Core principles of the week are awareness, recognition, and integrity.